

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daisuke KATSUTA, et al.
Serial No.: 10/733,316
Filed: December 12, 2003
Title: METHOD OF TRADING INFORMATION
Group: 3628
Examiner: NELSON, Freda Ann
Confirmation No.: 9072

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98

Mail Stop: AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

October 19, 2007

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please Form PTO/SB/08A, listing documents cited an Office Action issued in a corresponding Japanese Patent Application and copies of the documents cited therein.

This Information Disclosure Statement is being submitted subsequent to a first Office Action on the merits in the above-identified application, but prior to a Final Office Action or issuance of a Notice of Allowance, or issuance of any other action closing prosecution on the merits in the above-identified application. Accordingly, the fee of \$180.00 is enclosed herewith.

To the extent that the enclosed references are not in English, it is respectfully submitted that requirements of 37 CFR §1.98(a)(3) are satisfied by the enclosed English language Abstracts.

The following Statement is made under 37 C.F.R. §1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 C.F.R. §1.97 and §1.98 have been satisfied, in connection with the present submission of the enclosed documents. Accordingly, consideration of the enclosed documents, during examination of the above-identified application, is respectfully requested.

Applicants request any shortage or excess in fees in connection with the filing of this paper, including extension of time fees, and for which no other form of payment is offered, be charged or credited to Deposit Account No. 01-2135 Case: 501.43326X00).

Respectfully submitted,

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Dated: October 19, 2007